



# Dynamical Initialization of Tropical Cyclones and Predictability Issues using COAMPS-TC

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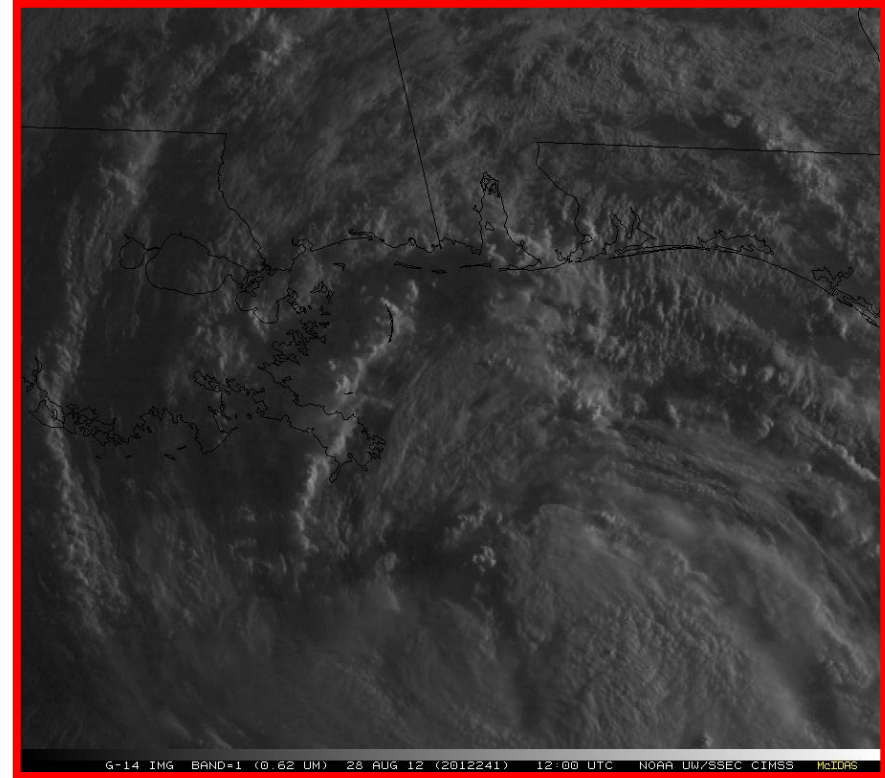
<sup>1</sup>SAIC, Monterey, CA



# Motivation

## Statement of the Problem

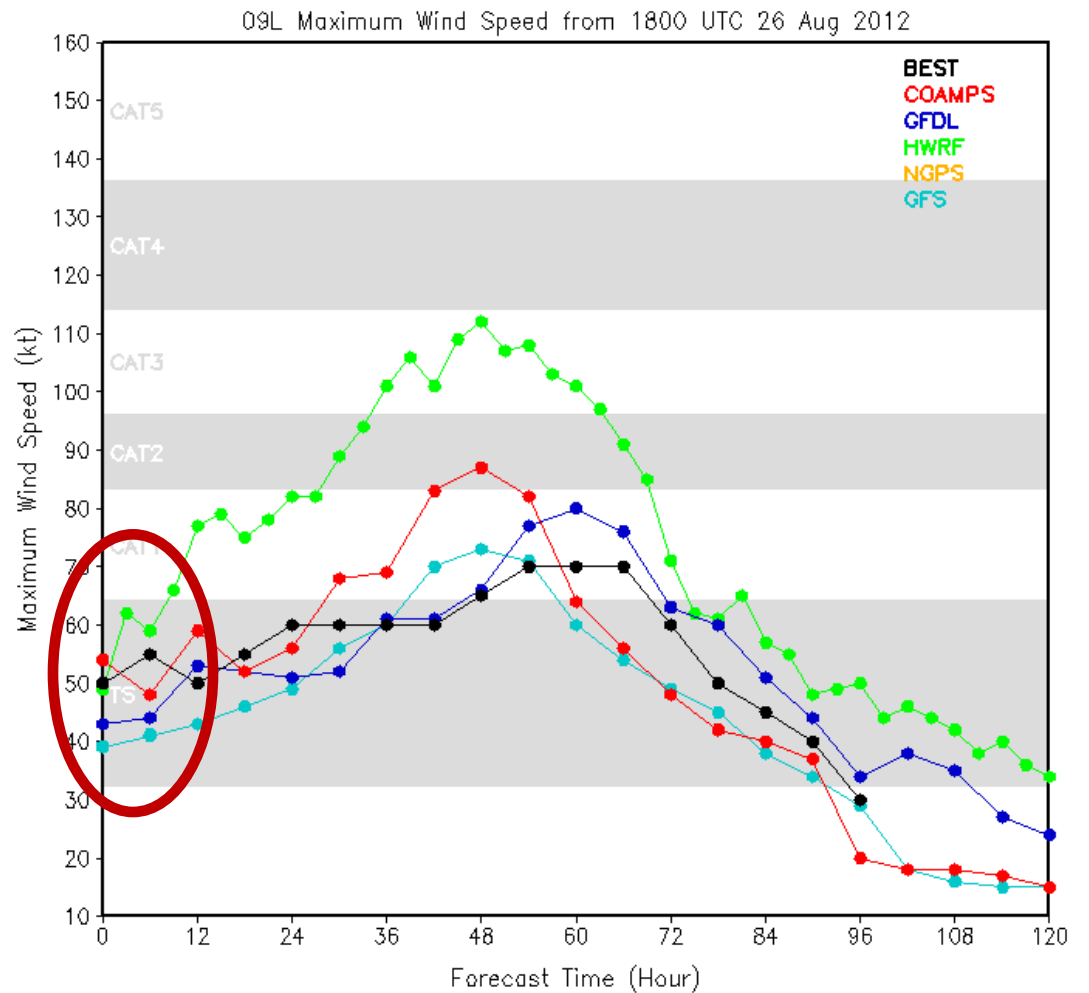
- Models cannot predict reliably the tropical cyclone intensity or structure; large analysis innovations occur.
- Observations within storm are often difficult to use [cloudy radiances, dropsondes (storm relative, drift), surface, synthetic..]
- Conventional DA approaches suffer from balance/spin-up issues when innovations are large.
- Methods such as dynamical initialization may help some of these issues.



**GOES-14 1-min rapid scan visible  
imagery of Tropical Storm Isaac  
(2012) Intensity: 976 mb, 60 kt  
(courtesy Chris Velden)**



# W. Atlantic Intensity Error 2010 and 2011



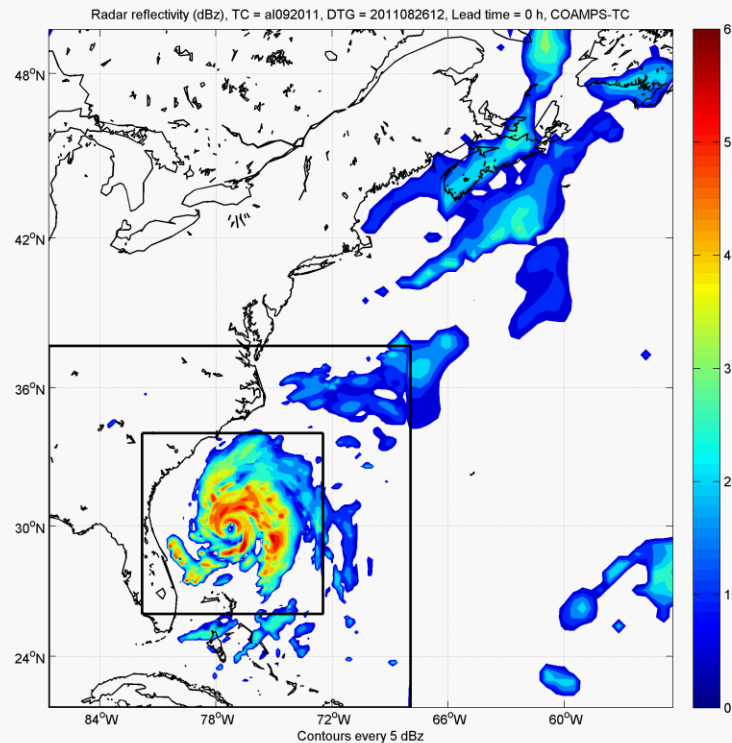
- **COAMPS-TC intensity forecasts verified well, particularly beyond 30 h.**
- **Less skillful in the first 24 h of forecast.**
- **Spin down / spin up is a big issue for most (or all) models.**



# COAMPS-TC System Overview

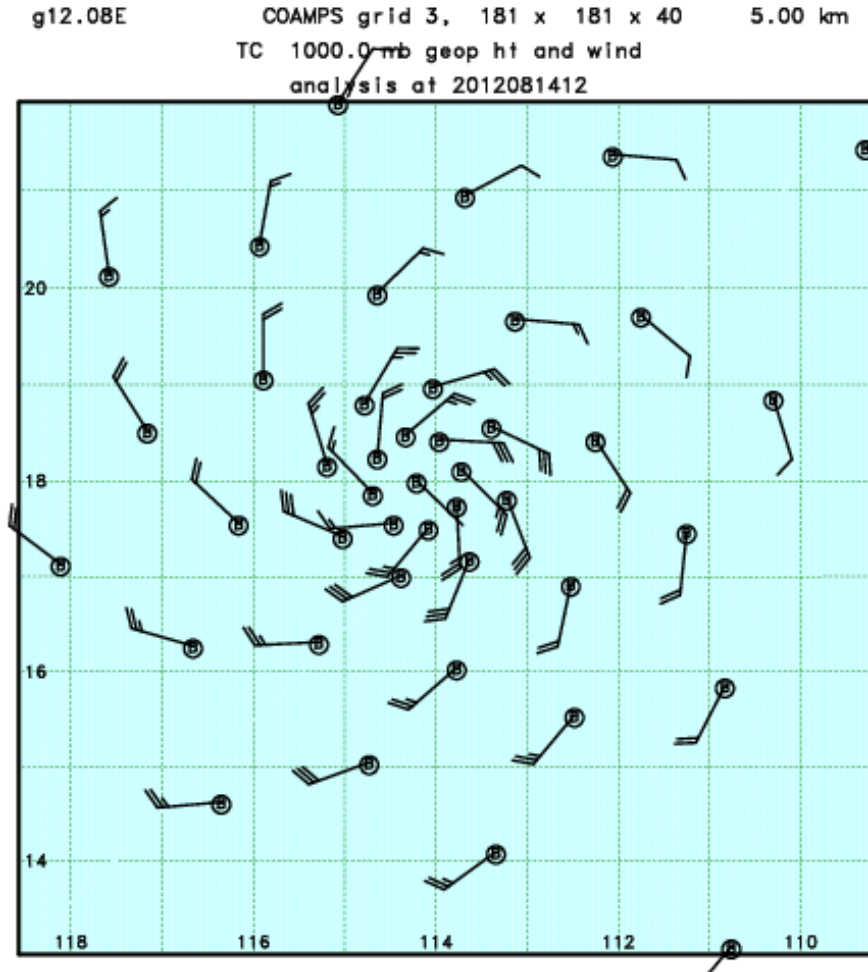
- **Analysis:** Synthetic observations, 3D-Var (NAVDAS), Dynamic Init.
- **Atmosphere:** Nonhydrostatic, moving nests, CBLAST fluxes, dissipative heating, NRL\_PBL, NRL microphysics, shallow/deep conv.
- **Ocean:** 3D-Var (NCODA), NCOM, SWAN, Wave Watch III options
- **Ensemble:** COAMPS-TC EnKF DART, Coupled Ensemble Transform
- **Real-Time:** 45-15-5 km, GFS/NOGAPS BCs, cycling DA, uncoupled/coupled

<http://www.nrlmry.navy.mil/coamps-web/web/tc>



# Overview of Synthetic Observations

## Analysis of Synthetics with 3D-Var



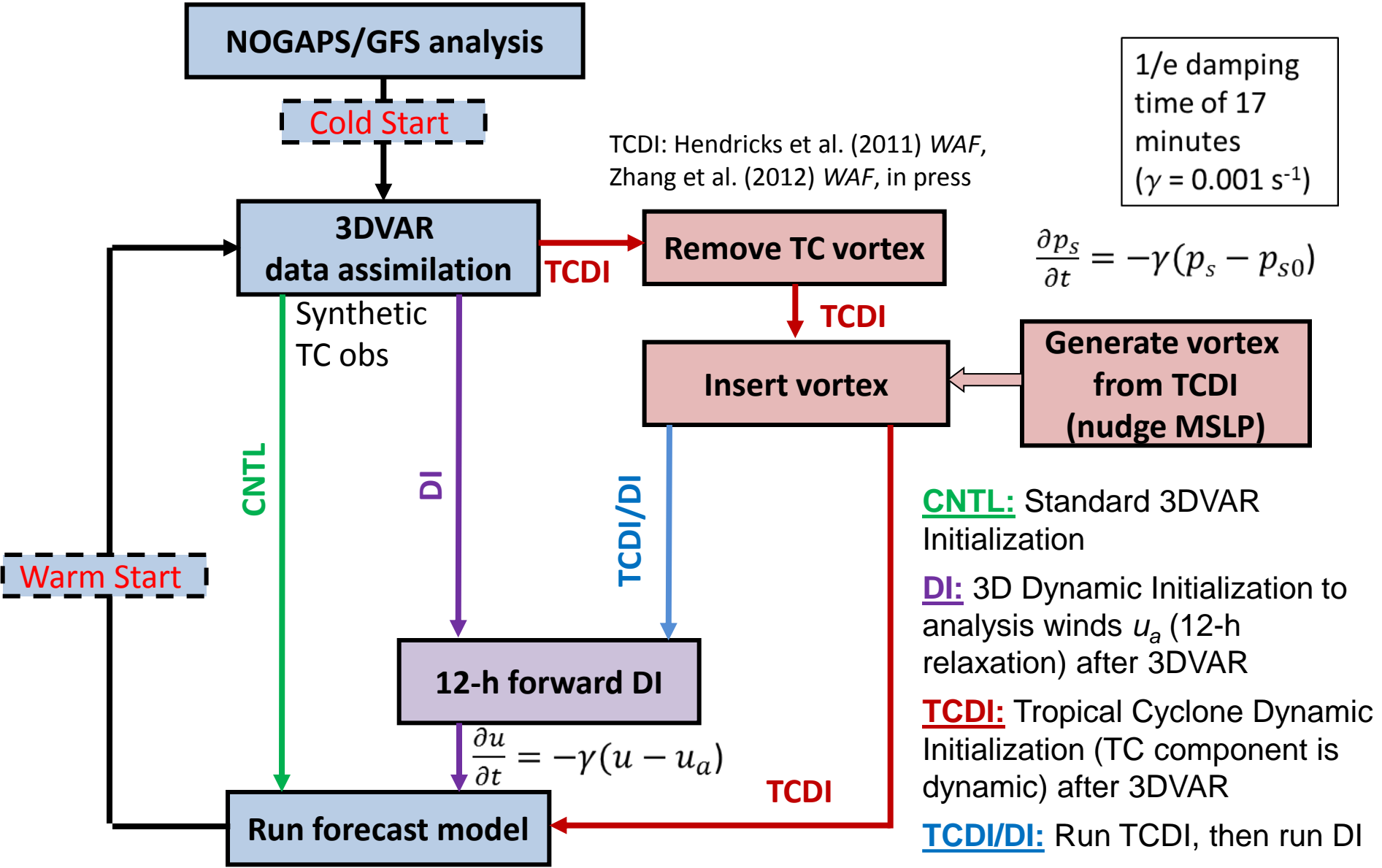
- Modified Rankine vortex based on estimated intensity/structure
- 1000-400 hPa, 6 degree radius, typically 49 observations
- U,V,T,H (T and H from balance equations)
- Boundary layer adjustment (wind reduction and inward turning)
- Vertical decay (warm core)
- Blend TC synthetics with all other observations in 3DVar (NAVDAS)

# TC Dynamical Initialization

## TCDI, DI, TCDI/DI

1/e damping time of 17 minutes  
 $(\gamma = 0.001 \text{ s}^{-1})$

$$\frac{\partial p_s}{\partial t} = -\gamma(p_s - p_{s0})$$



TCDI: Hendricks et al. (2011) WAF, Zhang et al. (2012) WAF, in press

NOGAPS/GFS analysis

Cold Start

3DVAR data assimilation

Remove TC vortex

Insert vortex

Generate vortex from TCDI (nudge MSLP)

12-h forward DI

Run forecast model

Warm Start

CNTL

DI

TCDI/DI

TCDI

**CNTL**: Standard 3DVAR Initialization

**DI**: 3D Dynamic Initialization to analysis winds  $u_a$  (12-h relaxation) after 3DVAR

**TCDI**: Tropical Cyclone Dynamic Initialization (TC component is dynamic) after 3DVAR

**TCDI/DI**: Run TCDI, then run DI

# TC Dynamical Initialization

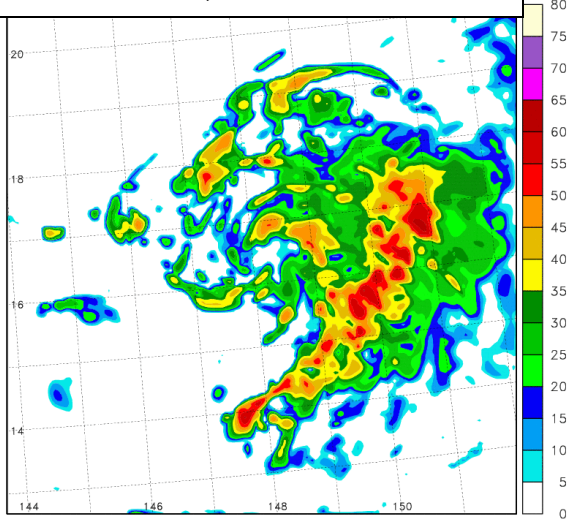
## TCDI for Choi-Wan (2009)

Control

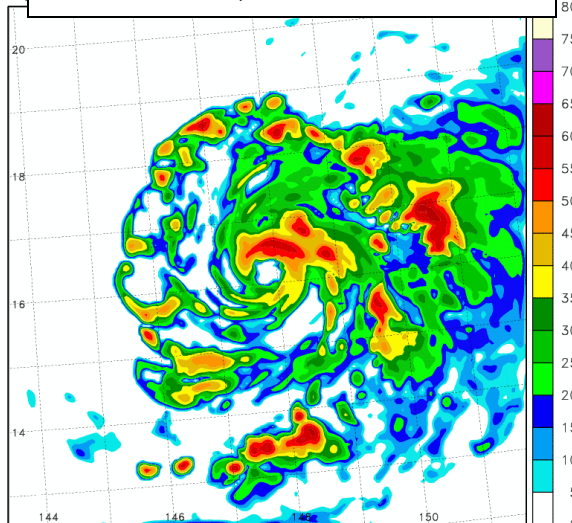
With TCDI

OBSERVATIONS

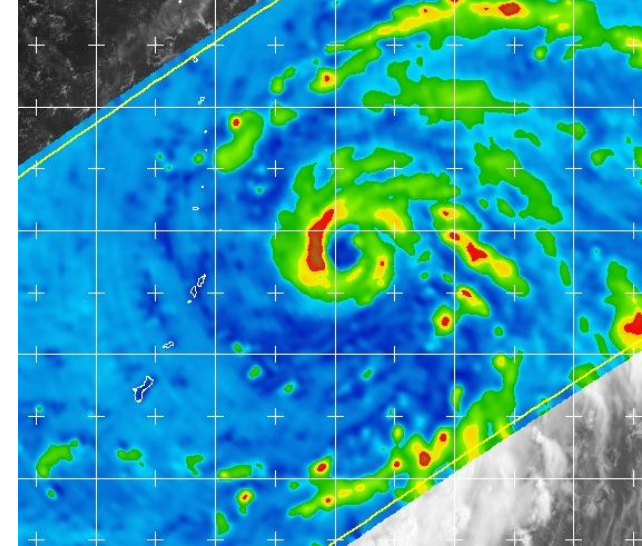
tau=24 h, valid 14/00Z



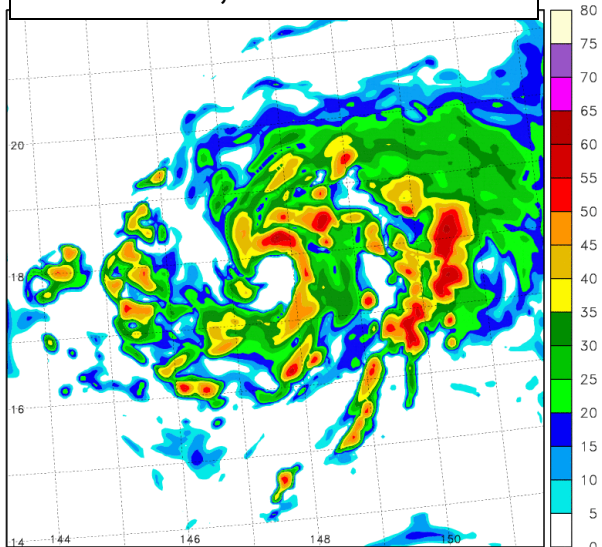
tau=24 h, valid 14/00Z



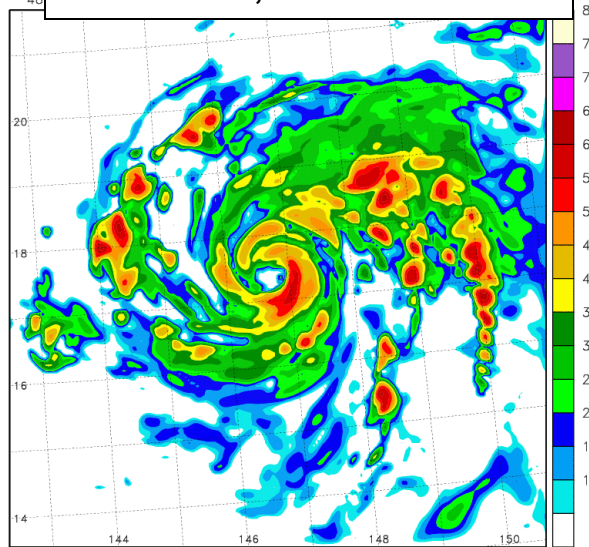
85GHz 14/00z



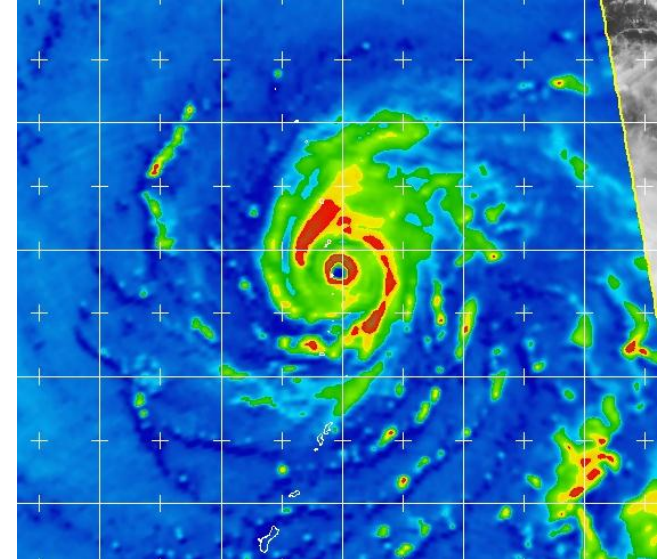
tau=48 h, valid 15/00Z



tau=48 h, valid 15/00Z



85GHz 15/04z

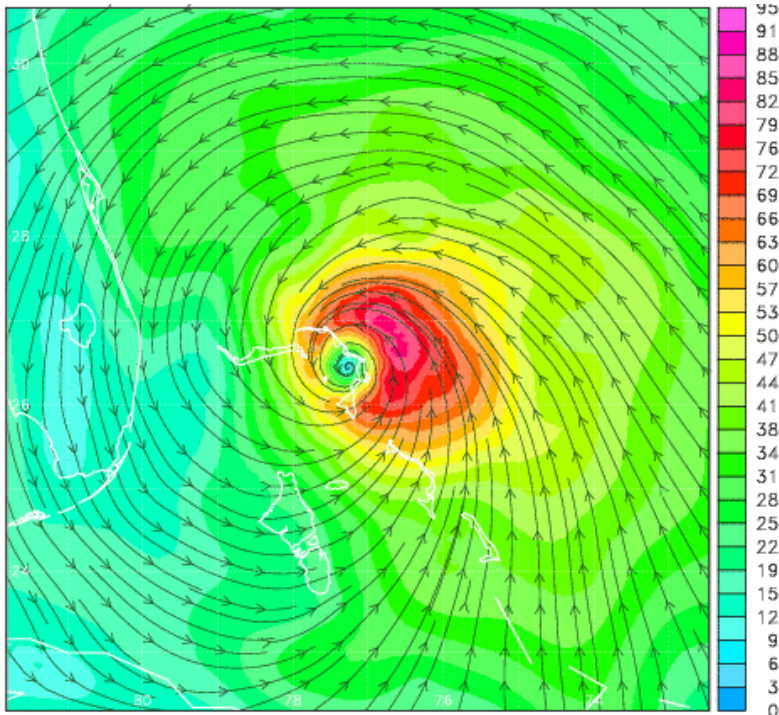


# TC Dynamical Initialization

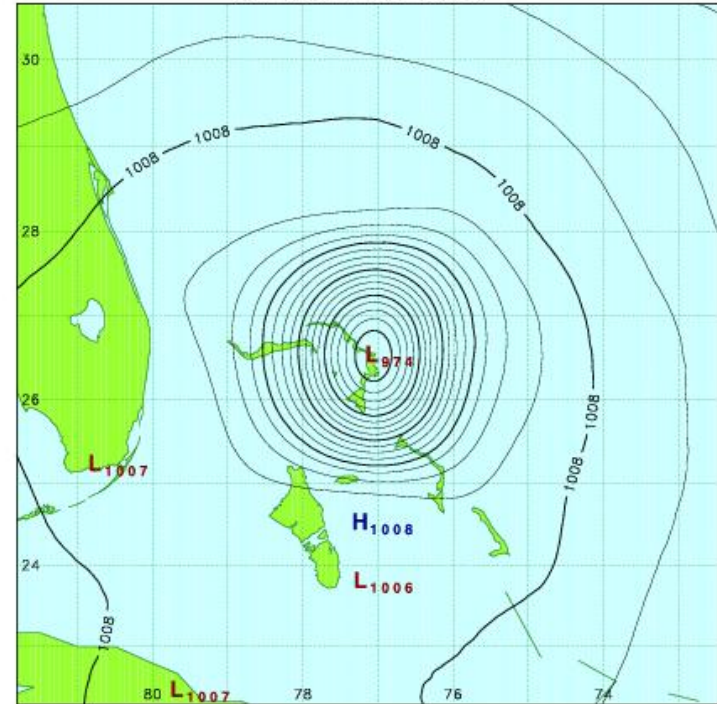
## DI (Dynamical Initialization)

### Hurricane Irene (09L) (2011082518)

10-m Winds (kt)



Sea Level Pressure (hPa)



- During DI, the horizontal momentum is held quasi-steady.
- 3DVAR is not able to produce gradient balanced vortex, rapid adjustment to winds during DI.
- Benefits of DI: balance adjustment, physics spin-up



Run ID: 09La 2011 09L

NHC Best Track in black  
COAMPS-TC in color

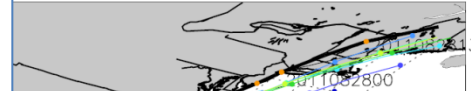
2011  
IRENE

Run ID: 09Lc 2011 09L

NHC Best Track in black  
COAMPS-TC in color

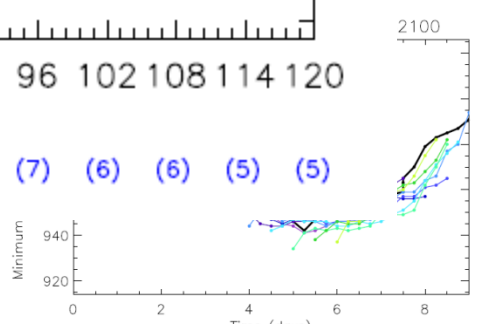
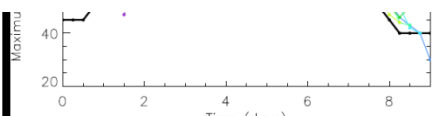
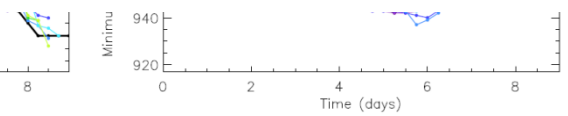
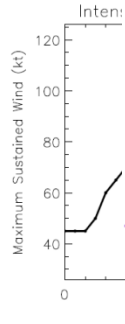
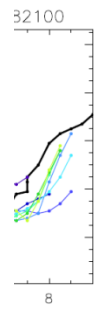
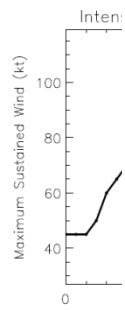
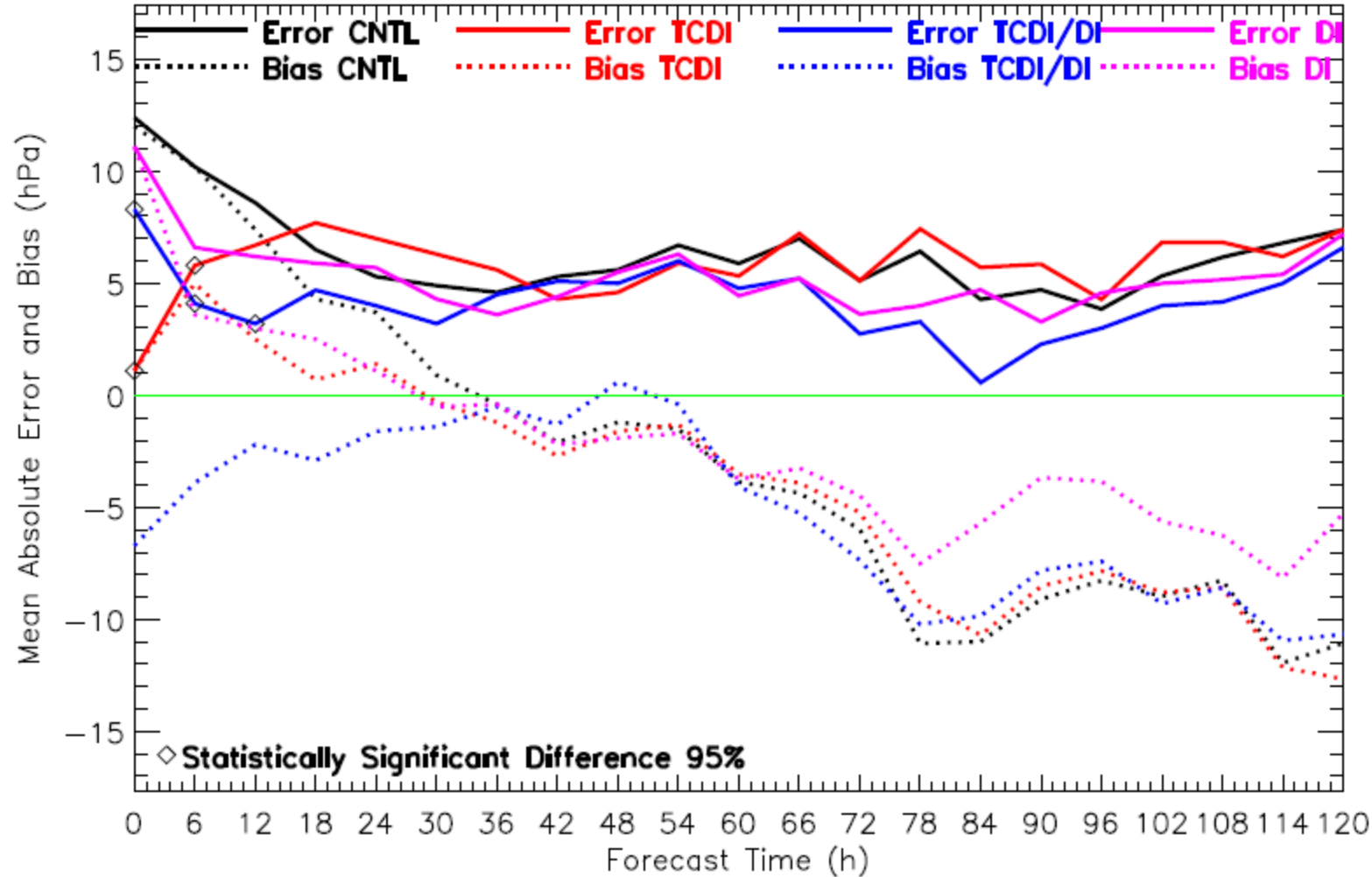


2011082212  
2011082300  
2011082312

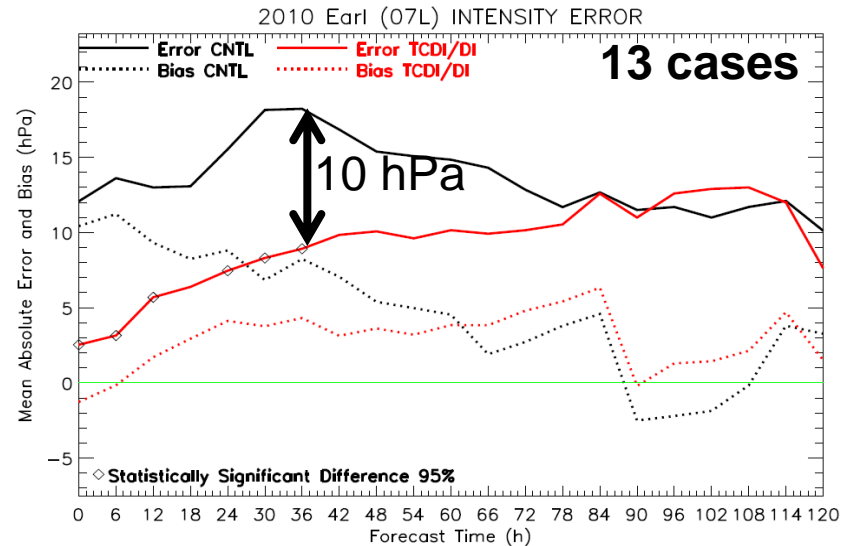
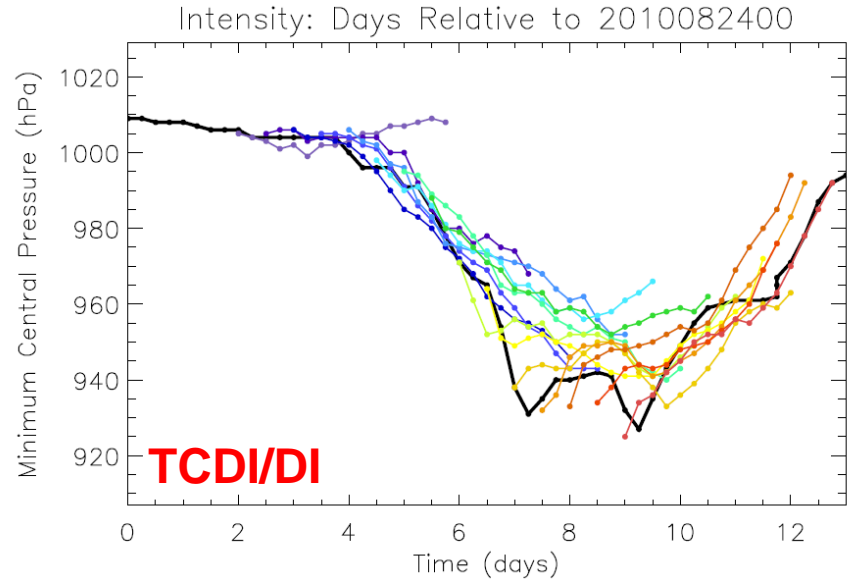
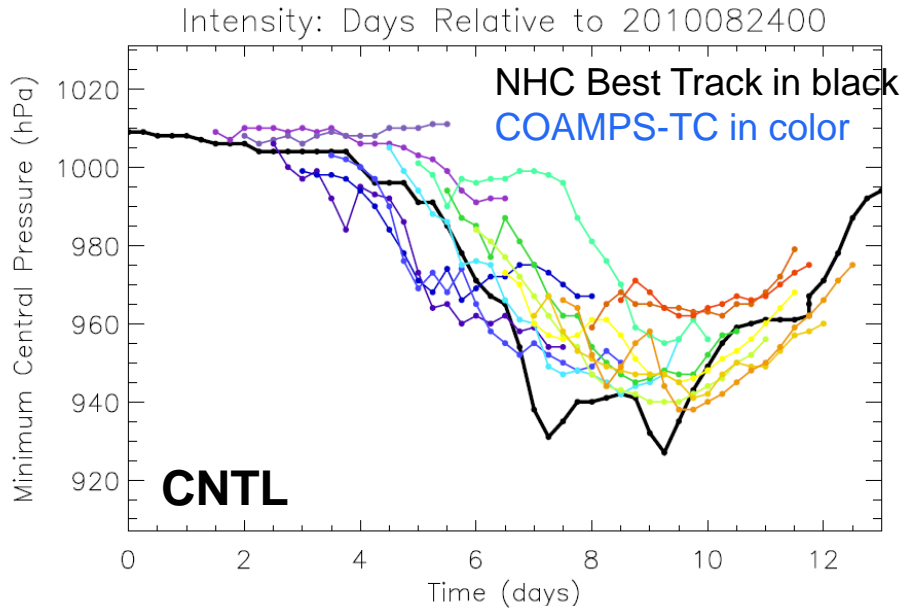


2011082212  
2011082300  
2011082312

### 2011 Irene (09L) INTENSITY ERROR



# TC Dynamical Initialization: 07L Earl (2010)



N = (13) (13) (13) (13) (13) (13) (13) (13) (13) (13) (13) (13) (13) (13) (12) (10) (10) (10) (10) (10) (8)

**Significant intensity error reductions for Earl by using TCDI/DI**

# TC Dynamical Initialization

## Track Error (nm): Homogeneous Comparison

Years: 2010-2011

Atlantic Storms: Danielle, Earl, Igor, Irene, Katia, Maria, Rina, Julia

Western North Pacific storms: Chaba, Fanapi, Ma-On

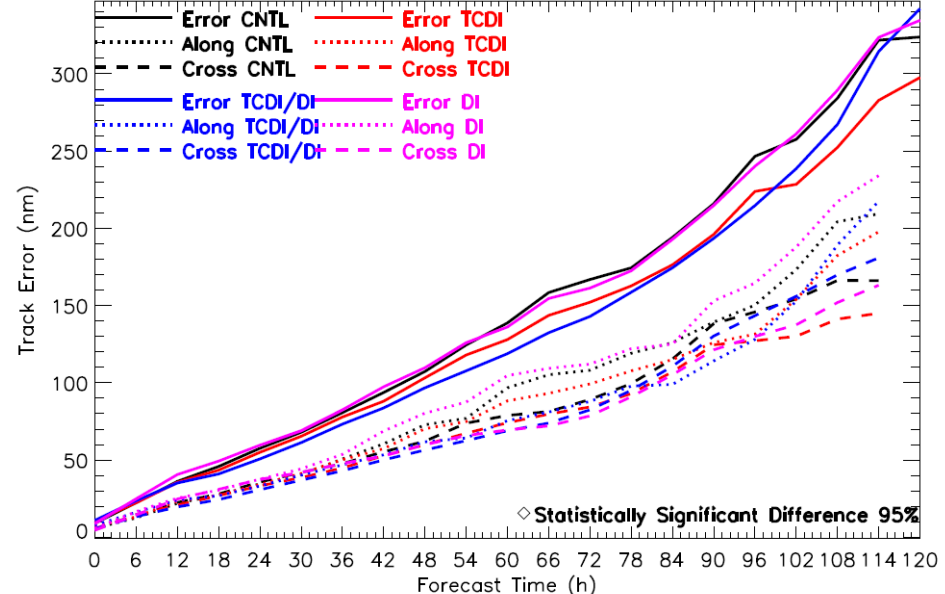
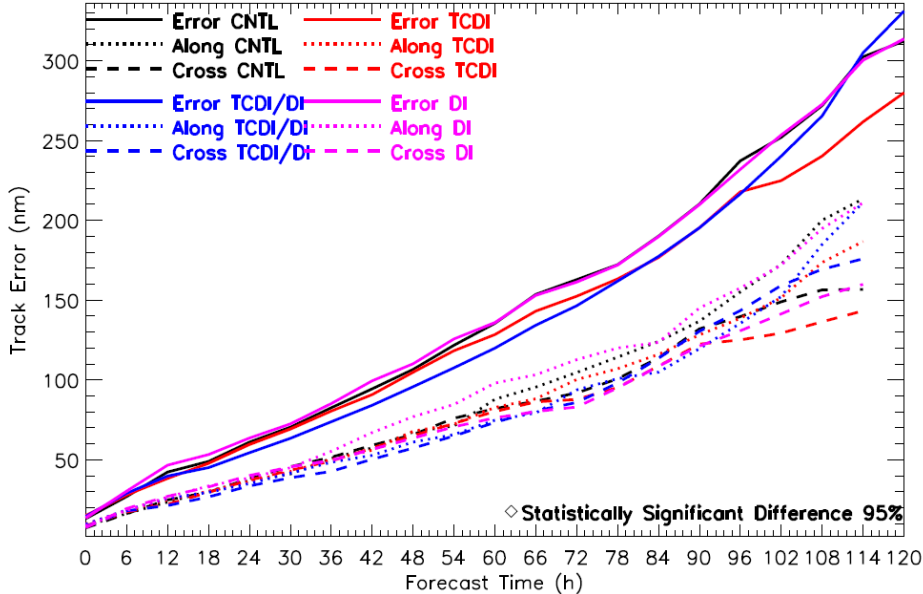
Cases: 120

### ALL Cases

### STRONG Initial intensity < 990

2010–2011 Large Sample TRACK ERROR

2010–2011 Large Sample TRACK ERROR



N = (120)(118)(114)(107)(104)(101)(100)(98) (97) (94) (92) (90) (87) (84) (82) (79) (79) (74) (70) (65) (59)

N = (81) (80) (77) (77) (76) (74) (73) (72) (72) (69) (67) (65) (62) (59) (57) (54) (54) (50) (48) (43) (40)

**TCDI/DI (blue curve) has lower track error for ALL cases (relative to control) and for strong storms ( $psfc_0 < 990$  hPa)**

# TC Dynamical Initialization

## Intensity Error (hPa): Homogeneous Comparison

Years: 2010-2011

Atlantic Storms: Danielle, Earl, Igor, Irene, Katia, Maria, Rina, Julia

Western North Pacific storms: Chaba, Fanapi, Ma-On

Cases: 120

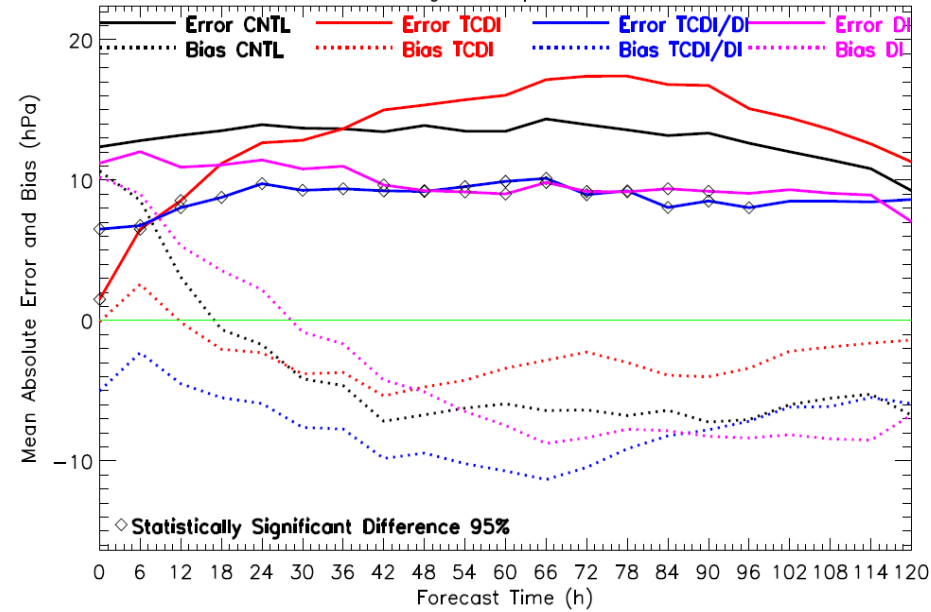
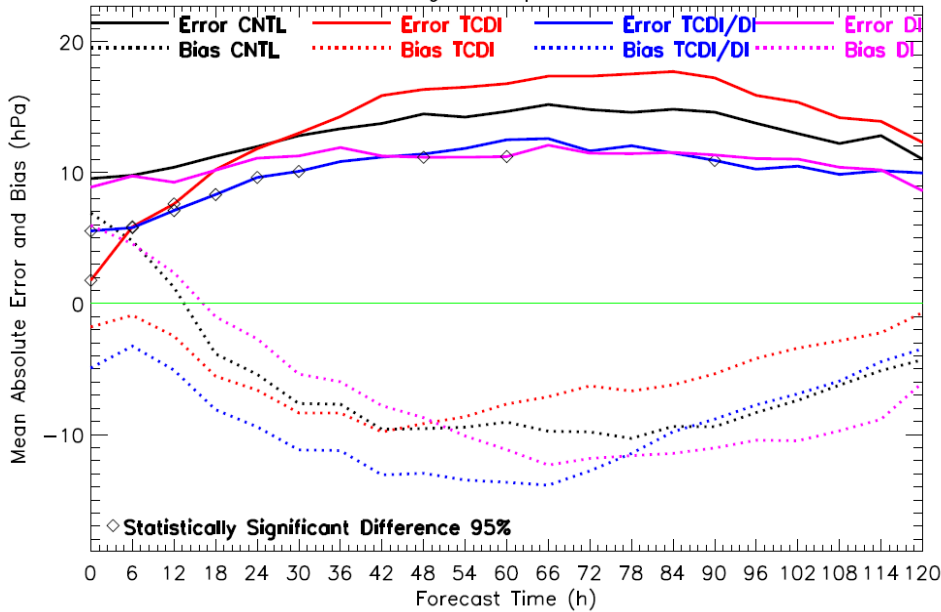
ALL

STRONG Initial intensity < 990

hPa

2010–2011 Large Sample INTENSITY ERROR

2010–2011 Large Sample INTENSITY ERROR



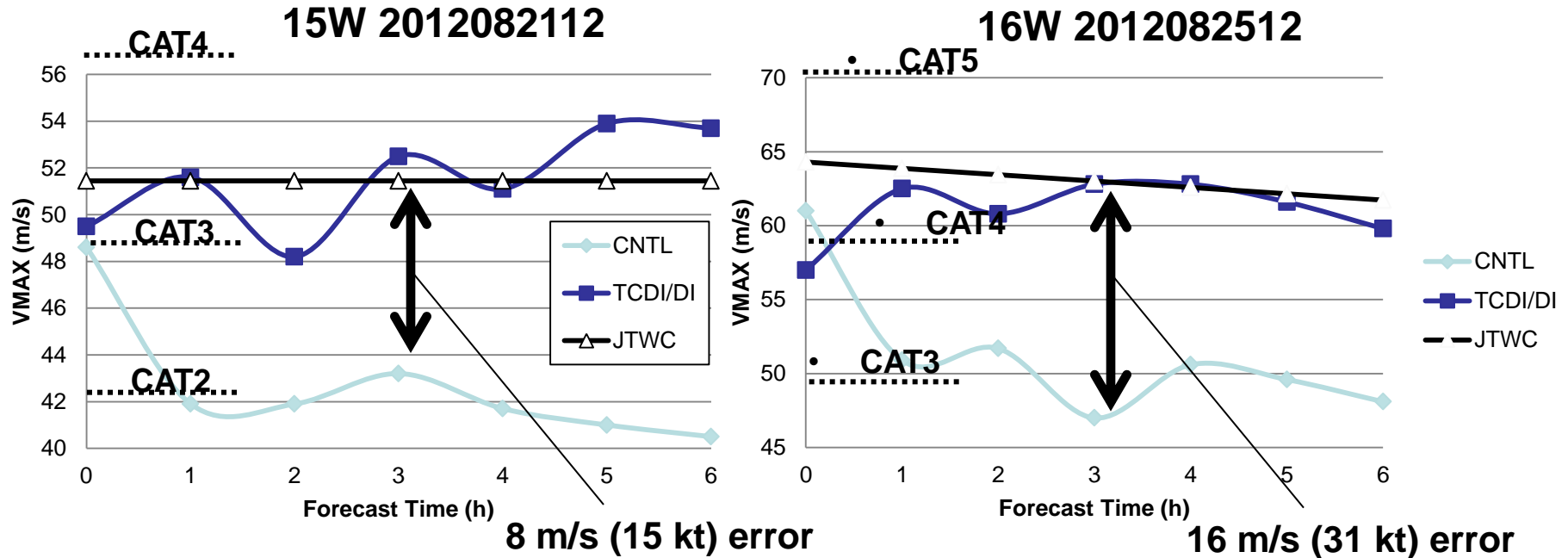
N = (120)(118)(114)(107)(104)(101)(100)(98) (97) (94) (92) (90) (87) (84) (82) (79) (79) (74) (70) (65) (59)

N = (81) (80) (77) (77) (76) (74) (73) (72) (72) (69) (67) (65) (62) (59) (57) (54) (54) (50) (48) (43) (40)

**TCDI/DI (blue curve) has lower track error for ALL cases (relative to control) and especially for strong storms ( $psfc_0 < 990$  hPa)**

# TC Dynamical Initialization

**Real-Time Parallel Runs: 15W (Tembin) and 16W (Bolaven)**



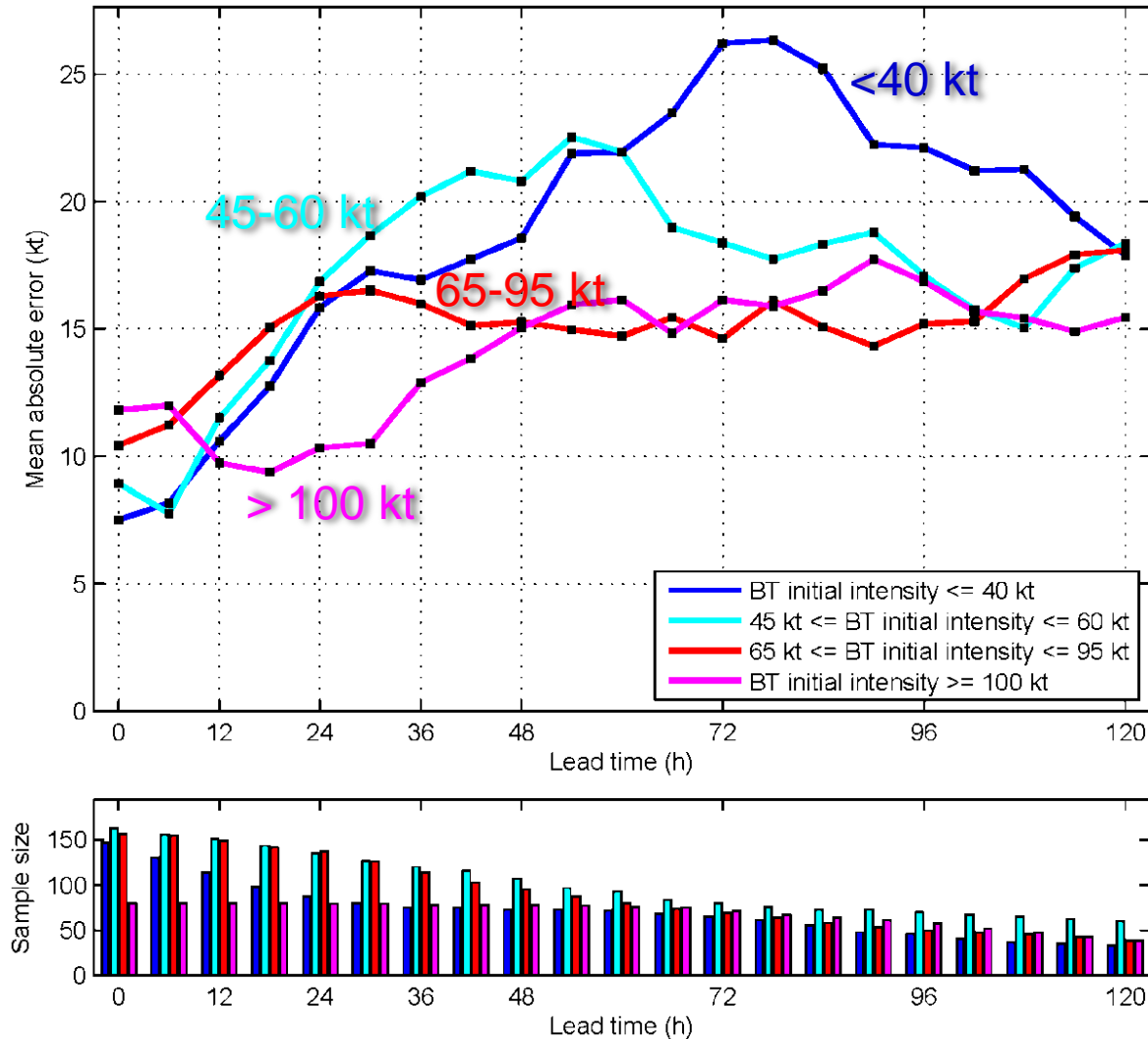
- TCDI/DI tested in parallel in real-time in 2012
- Marked improvement in spin-down issue for intense cyclones



# Intensity Predictability Aspects

## COAMPS-TC Intensity Skill (2008-2010 W. Atlantic)

COAMPS-TC intensity MAE for different best-track initial intensities



**Weak storms (at the initial time) have larger intensity and track errors.**

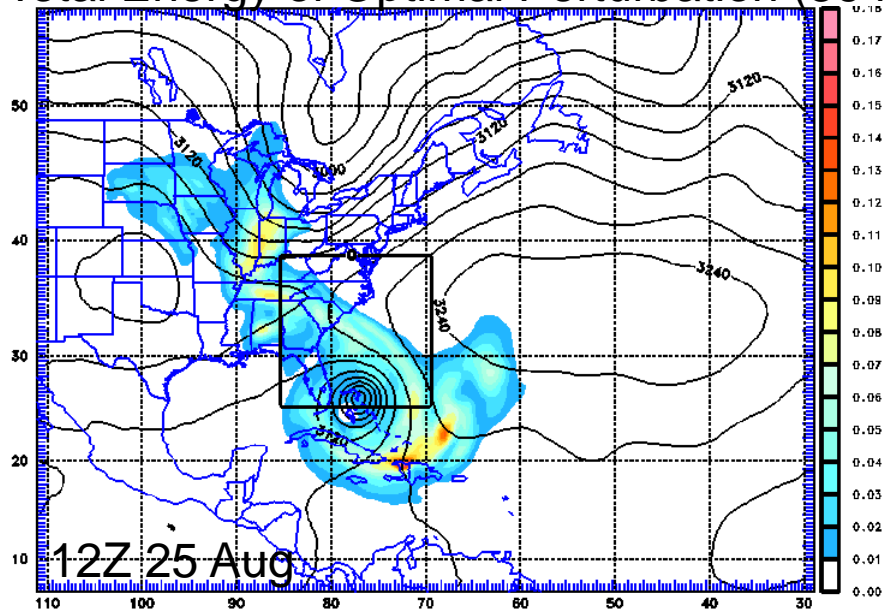


# Predictability Aspects

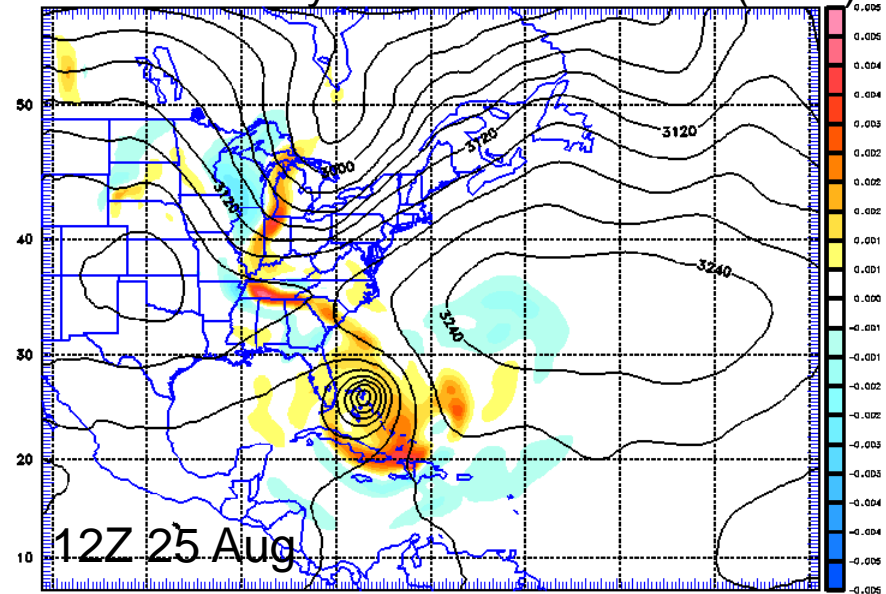
## Adjoint Sensitivity

**Adjoint** allows for the mathematically rigorous calculation of forecast **sensitivity** of a response function to changes in **initial state**

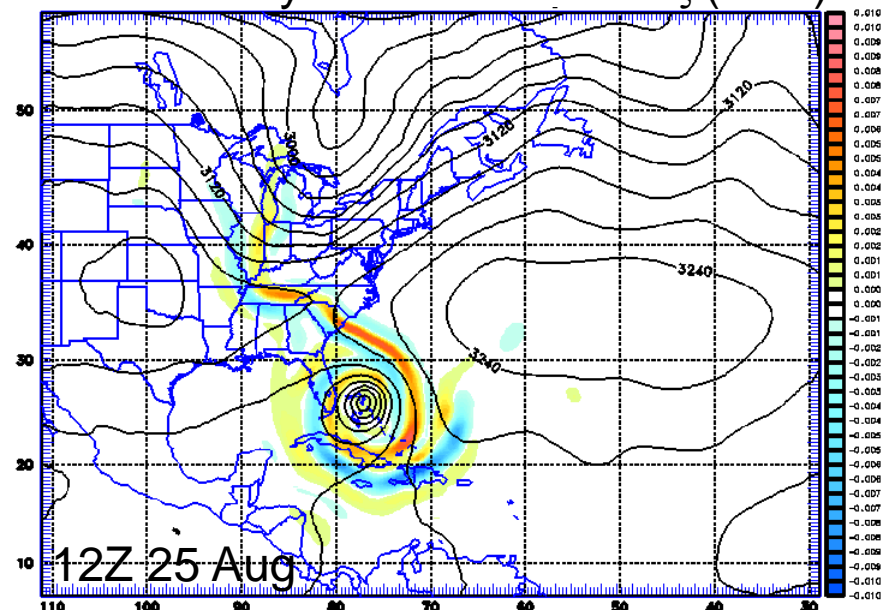
Total Energy of Optimal Perturbation (36 h)



Sensitivity to Initial 700 mb  $\theta$  (36 h)



Sensitivity to Initial 700 mb  $\zeta$  (36 h)



- Moist trajectory and dry adjoint (45 km).
- Sensitivity maxima around the storm and near mid-tropospheric shortwave.
- Stronger sensitivity to  $\theta$  than winds.
- Enhanced sensitivity to outer  $\zeta$  structure.

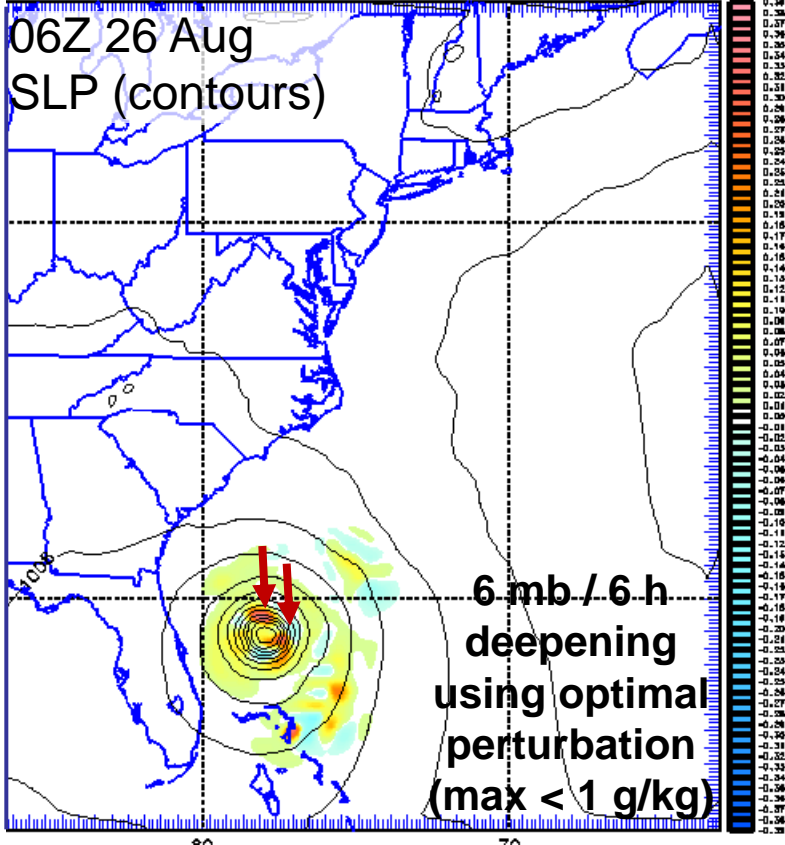


# Predictability Aspects

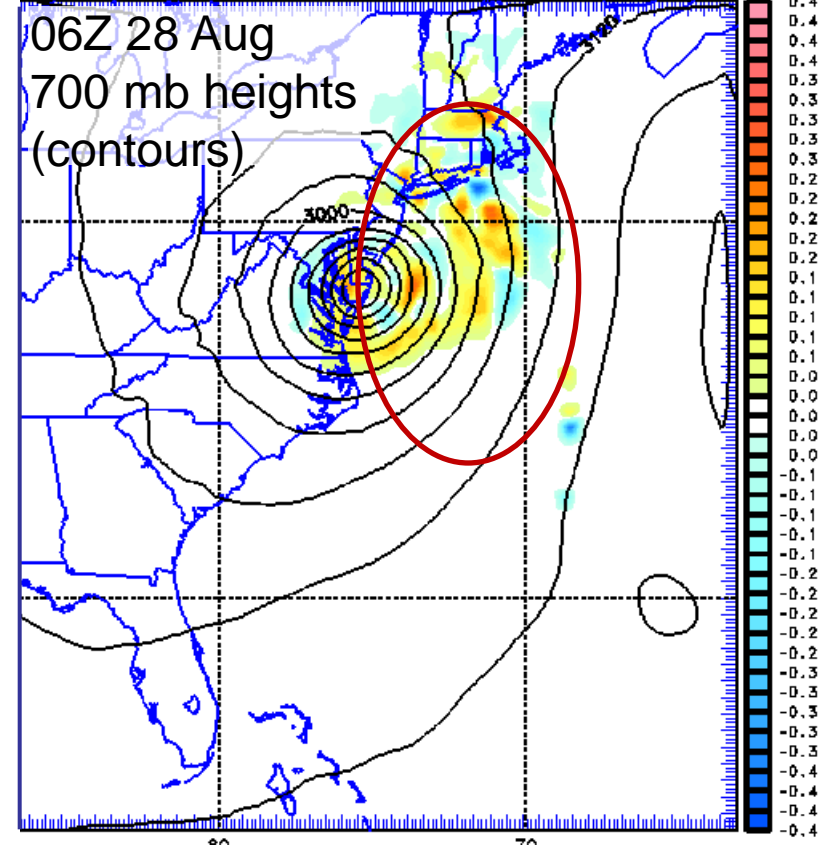
## Moist Adjoint Sensitivity

Adjoint sensitivity calculations for higher-resolution (15 km) with moisture (includes cloud microphysics)

Sensitivity to Initial 850 mb  $q_v$  (6 h)



Sensitivity to Initial 850 mb  $q_v$  (6 h)



- Low-level moisture sensitivity 5-10x greater than winds and 2x greater than  $\theta$ .
- Most sensitive region is in the inner core.
- Sensitivity tests show intensification is very sensitive to the moisture observations.
- During ET transition, moisture sensitivity expands and sensitivity max in eastern flank.

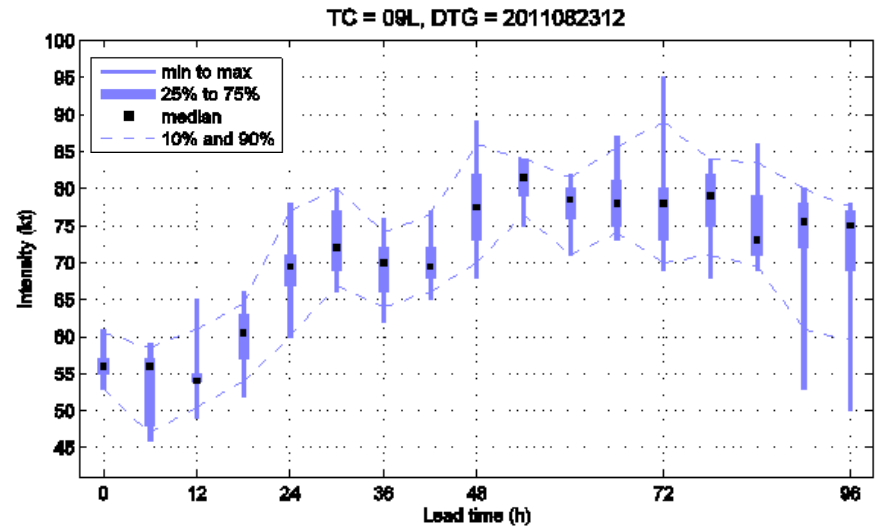
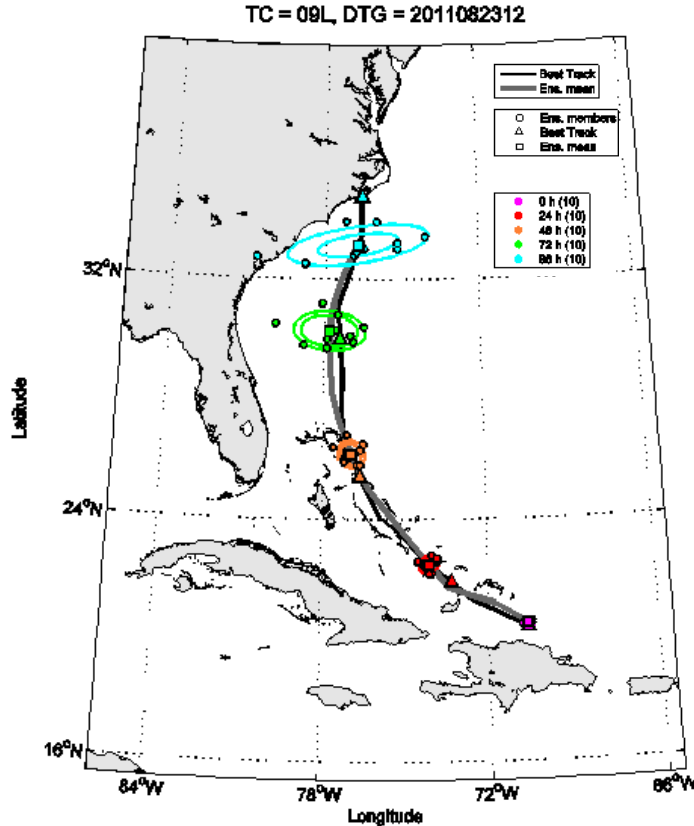




# Predictability Aspects

## Irene Ensemble (EnKF) Probabilistic Products

10 Member 5-km Resolution Ensemble System (COAMPS-TC DART)



TC position from individual ensemble members every 24 h and ellipses that encompass the 1/3 and 2/3 ensemble distributions.

Median, minimum, maximum, and 10% and 90% distributions are shown

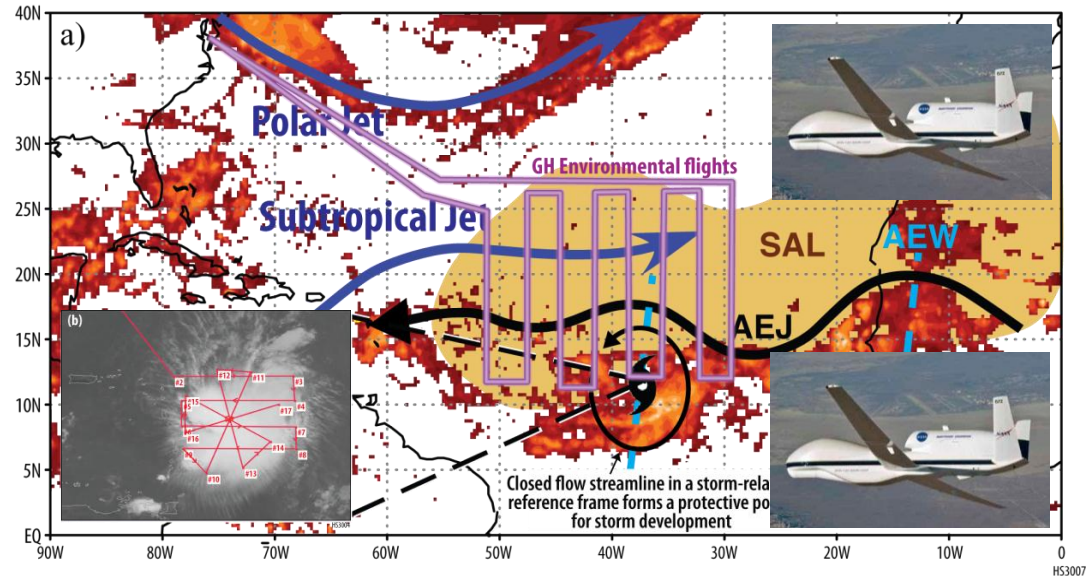
- COAMPS-TC Ensemble System (using DART) run in real time (5 km) for EnKF DA (80 members) and 5 day forecasts (10 members) (in support of HFIP).
- Significant uncertainty in the intensity.



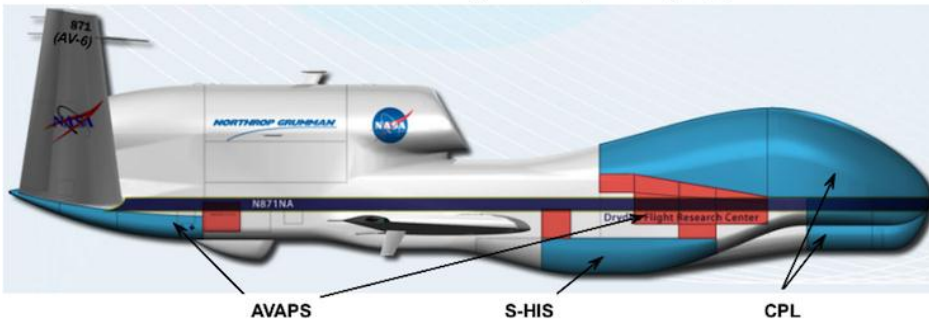
# Hurricane Severe Storm Sentinel (HS3) NASA Field Campaign (2012-2014)

*NASA field campaign to observe inner core and environment of TC's to address questions regarding formation and intensity change*

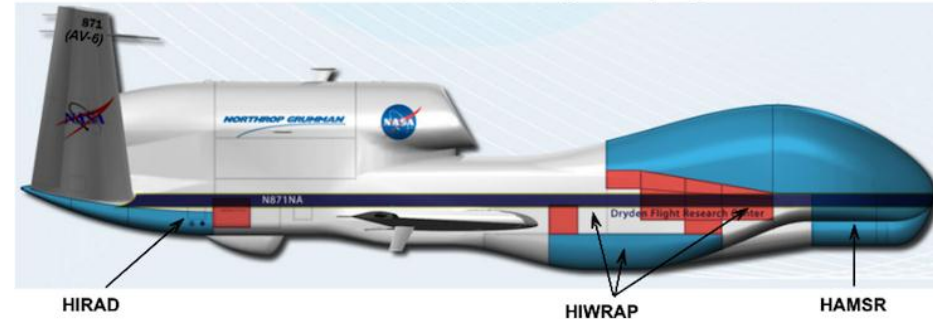
- 2 Global Hawks will sample environment and over storm
- NRL will focus on hurricane outflow characteristics, dynamics, and predictability
- First field phase Sep-Oct '12



HS3 Environmental Payload (AV-6) @ WFF '12

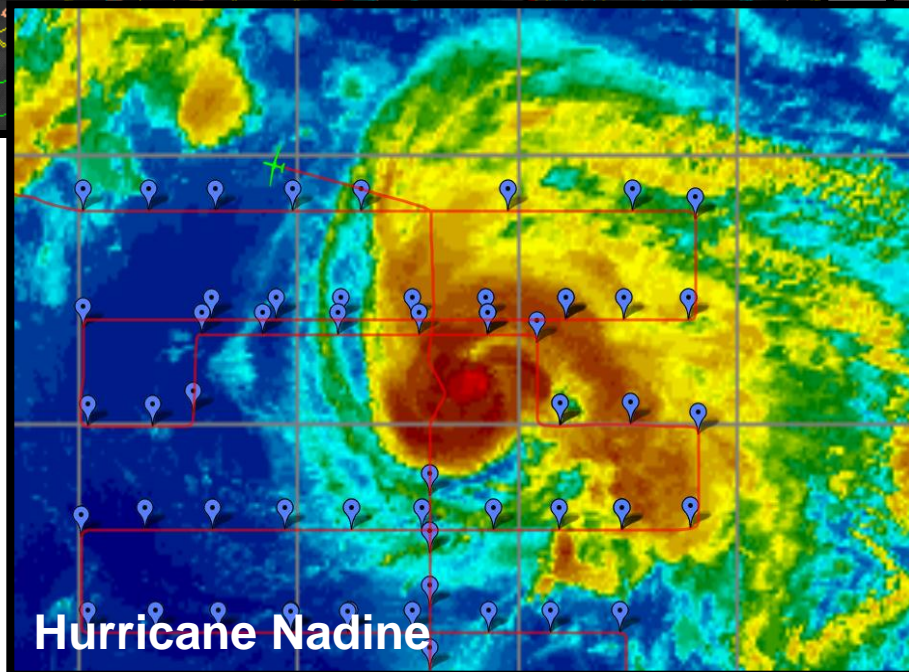
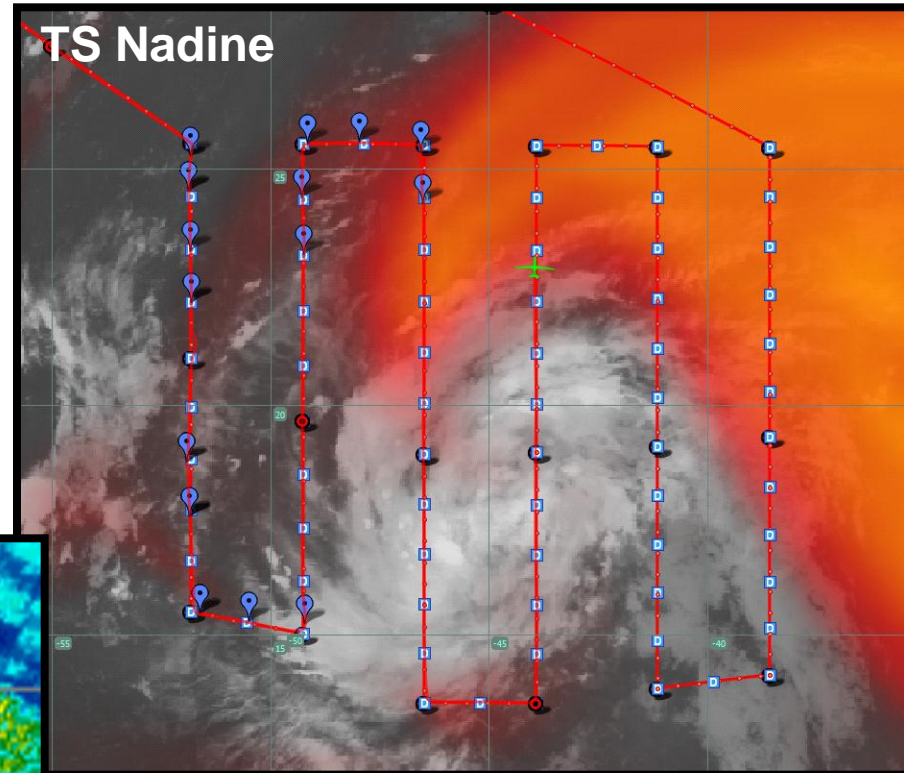
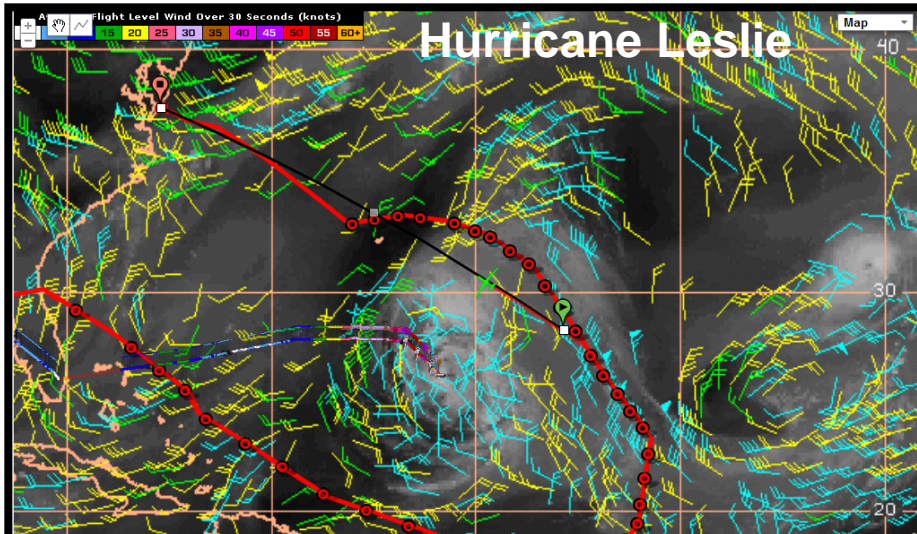


HS3 Over-Storm Payload (AV-1) @ WFF '12





# Hurricane Severe Storm Sentinel (HS3) NASA Field Campaign (2012-2014)



- Ferry mission and 2 science missions so far.
- Excellent datasets for data impact, initialization, and predictability studies.
- Missions sampled outflow.



# TC Initialization and Predictability Issues

## Summary and Challenges

### ➤ **Dynamical Initialization**

- Most TC models suffer from spin-up and spin-down issues early in the forecasts, which adversely impact the short term intensity skill (and track).
- Several DI methods for COAMPS-TC have been developed.
- Balanced vortex (from look-up table) and a newtonian relaxation step results in large improvements to the intensity (and track).
- DI is a natural framework to include satellite derived heating rates (future).

### ➤ **Predictability**

- Track & intensity are poor for weak storms.
- Adjoint results underscore the multi-scale nature of TC prediction and large sensitivity to initial moisture distribution (intensity targeted observations?).
- Multi-model high-resolution ensemble (HFIP) is a promising direction.

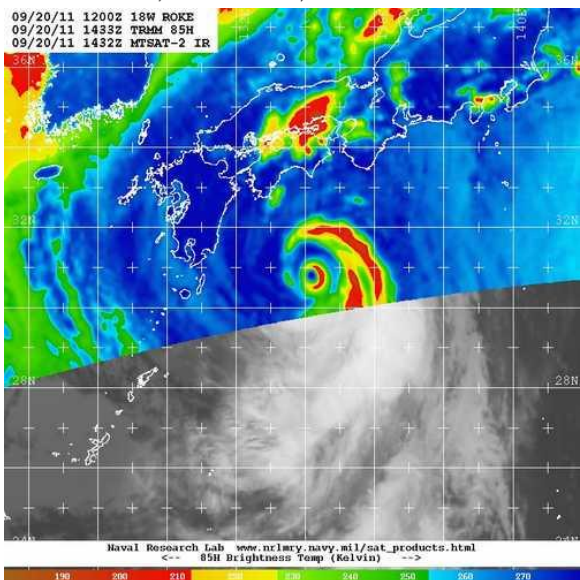
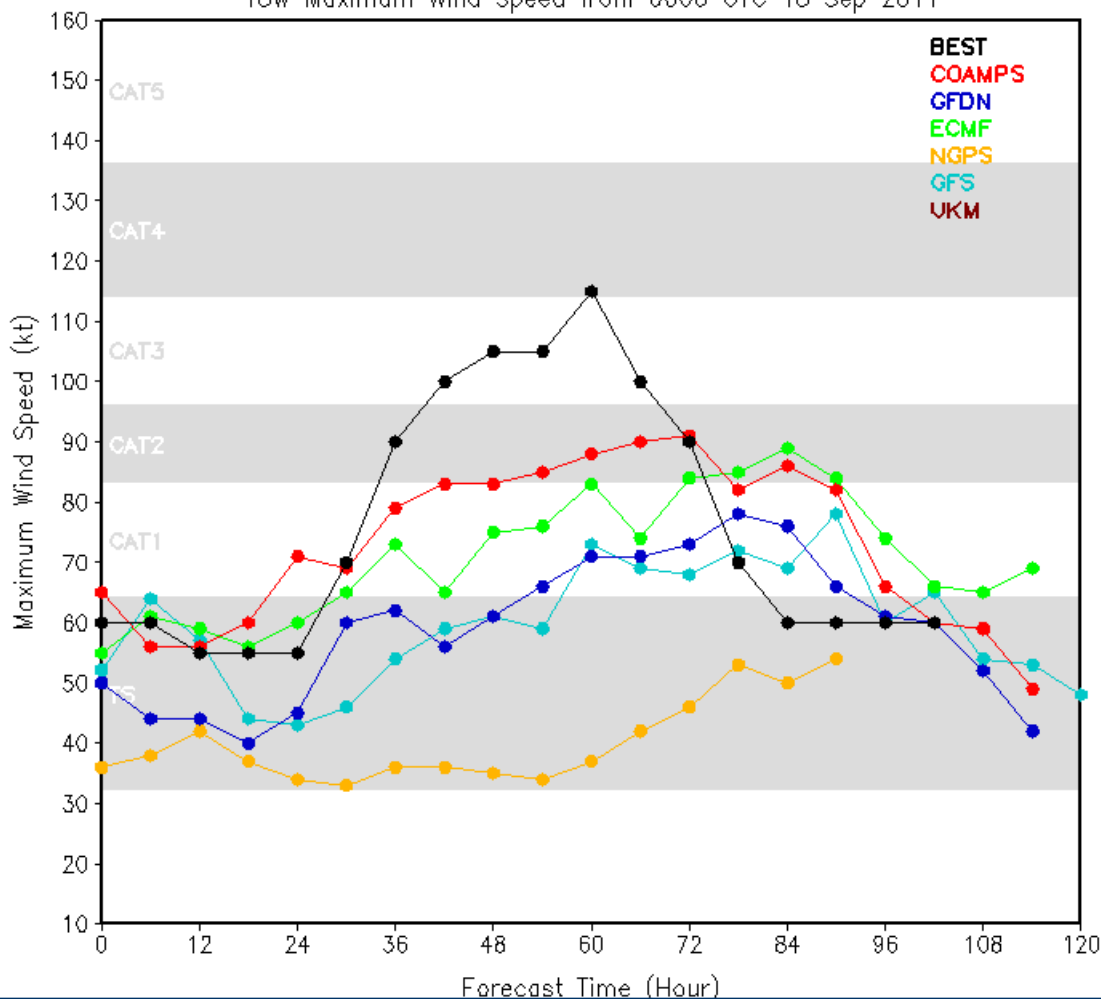
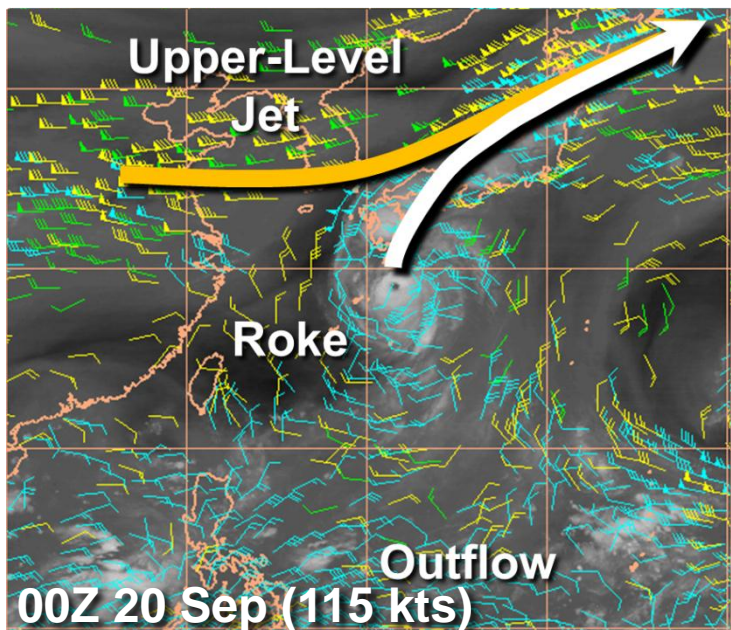
### ➤ **Challenges and Issues**

- Roles of the environment and internal processes need to be understood.
- Need to quantify TC predictability for intensity (RI, scale interactions etc.).
- Role of the outflow (link to environment) needs to be considered.
- Model calibration based on few metrics (e.g., max wind) may lead to unbiased forecasts, but with large errors (poor RI, similar to statistical models).



# Predictability Aspects: Rapid Intensification Roke (18W) (2011)

18W Maximum Wind Speed from 0000 UTC 18 Sep 2011



- TY Roke remained weak for days, underwent rapid intensification (RI), and threatened Tokyo.
- Models failed to capture RI.
- Outflow merged with upper jet during RI.
- A focus of NASA HS3 will be on outflow interactions